

**(unofficial consolidated text)**

- Regulation on reporting by branches of Member State banks (Official Gazette of the Republic of Slovenia, No. 97/20 of 10 July 2020, in force since 25 July 2020)
- Regulation on addition to Regulation on reporting by branches of Member State banks (Official Gazette of the Republic of Slovenia, No. 189/20 of 15 December 2020; in force since 16 December 2020)

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Pursuant to the seventh paragraph of Article 117 and the second paragraph of Article 386 of the Banking Act (Official Gazette of the Republic of Slovenia, Nos. 25/15, 44/16 [ZRPPB], 77/16 [ZCKR], 41/17, 77/18 [ZTFI-1], 22/19 [ZIUDSOL] and 44/19 [constitutional court decision]; hereinafter: the ZBan-2), and the first paragraph of Article 31 and the third paragraph of Article 13 of the Bank of Slovenia Act (Official Gazette of the Republic of Slovenia, Nos. 72/06 [official consolidated version], 59/11 and 55/17), the Governing Board of Banka Slovenije hereby issues the following

**REGULATION  
on reporting by branches of Member State banks**

**Article 1  
(general provisions)**

- (1) This regulation sets out the detailed scope of data and information to be reported by branches of Member State banks (hereinafter: branches) in connection with their operations in the territory of the Republic of Slovenia.
- (2) Wherever this regulation refers to the provisions of other regulations, such provisions shall apply in their wording applicable at the time in question.

**Article 2  
(reporting on depositors)**

- (1) Branches shall report quarterly on the number of depositors at the branch whose deposits are covered by the deposit guarantee scheme in the bank's home country, and on the total amount of their covered deposits, separately for individuals and for legal entities, taking account of custodial accounts.
- (2) The deadline for the submission of the report referred to in the first paragraph of this article shall be the 15<sup>th</sup> working day of the month at the latest for the previous quarter.

**Article 3  
(financial information for statistical purposes and detailed data from contracts on transactions concluded)**

- (1) A branch shall report in accordance with the Regulation on reporting by monetary financial institutions (Official Gazette of the Republic of Slovenia, No. 44/18) and the Guidelines for implementing the Regulation on reporting by monetary financial institutions (published on the Banka Slovenije website).
- (2) The first paragraph of this article notwithstanding, the following exemptions shall apply to a branch in its reporting:

(a) in the BS1S monthly report on accounting items with interest rates, there is no need to assign designations to items according to code list 106. Customer rating grade identifier and to complete value data 88. Suspended income;

(b) the only transactions reported in the BS1K quarterly report are those included in items A0201, A0301, A0408, A0410, A0411, A0416, A0418, A0419, A2405 and A2406 from the BS1S report, and commission-based transactions in the BS1KP report that are designated with the following code lists or value data:

- 26. Customer identifier;
- 108. Contract identifier (key);
- 136. Instrument identifier;
- 400. Probability of default (PD) (at level of customer) (for IRB branches);
- 418. Cumulative repayments since default.

Other items and attributes are not completed.

(3) The first and second paragraphs of this article notwithstanding, for a branch whose reporting obligations, in accordance with Regulation (EU) No 2016/867 (ECB/2016/13), are met in full by a bank established in another Member State (a reporting agent under the aforementioned regulation), or a branch that has been granted derogations in the full extent in accordance with Article 16 of Regulation (EU) No 2016/867 (ECB/2016/13), there shall be no need to:

- (a) submit the quarterly report on parameters in connection with credit risk (BS1K);
- (b) submit the monthly report on credit operations that the bank manages, administers and services for the account of third parties who are business entities (the BS1KP report);
- (c) designate items in the monthly report on accounting items with interest rates (BS1S) under the following code lists:
  - 100. Types of forbearance with designations a), b), c), d) or e). The branch may assign a designation of f) to all forbore exposures, and shall be obliged to assign a designation of N to items with exposures that have not yet been subject to forbearance measures.
  - designate according to the code list 138. *Modified repayment terms*;
  - designate according to the code list 139. *Refinancing*;
  - designate according to the code list 150. *Fair value changes due to changes in credit risk before purchase*;
  - designate according to the code list 140. *Credit line other than revolving loan*;
  - designate according to the code list 141. *Amortisation type*;
  - designate according to the code list 142. *Frequency of payments*;
  - designate according to the code list 143. *Final date of period when only interest is paid*;
  - designate according to the code list 144. *Purpose according to AnaCredit*;
  - designate according to the code list 145. *Recourse clause*;
  - designate according to the code list 147. *Frequency of interest rate changes*;
  - designate according to the code list 150. *Fair value changes due to changes in credit risk before purchase*;
  - designate according to the code list 152. *Interest rate cap*; and
  - designate according to the code list 153. *Interest rate floor*;
- (d) submit information from the BDOG report on cumulative repayments since default (value data 418) and cumulative losses from the sale of claims (value data 502);
- (e) designate and report joint liabilities arising in the calling of financial guarantees (in the BS1S report the code list 151 is designated with designation 2 [no]). Only information in connection with “true” joint liability/debt is included in the BSSOL report;
- (f) designate attributes in the BSZAV table for transactions from the BS1S and BS1VZ reports that define protection received in detail. Only information designated under the following codes is reported:
  - 800. *Protection identifier*, and

- 25. *Type of protection;*  
(g) report value data 813. *Third-party priority claims against the protection* in the BS1VZ report.

**Article 4**  
**(other information for information and statistical purposes)**

- (1) A branch shall report in accordance with the Regulation on the compulsory reporting of transactions with the rest of the world (Official Gazette of the Republic of Slovenia, Nos. 107/08 and 24/13), the Guidelines for implementing the Regulation on the compulsory reporting of transactions with the rest of the world (Official Gazette of the Republic of Slovenia, No. 18/17), and the Guidelines for the reporting of transactions in securities (Official Gazette of the Republic of Slovenia, No. 109/13).
- (2) A branch that provides payment services as defined in the Payment Services, Electronic Money Issuance Services and Payment Systems Act (Official Gazette of the Republic of Slovenia, Nos. 7/18 and 9/18 [corrigendum]) shall report on the fees charged to users in connection with the provision of payment services in the territory of the Republic of Slovenia, in accordance with the Regulation on information on fees for the provision of payment services (Official Gazette of the Republic of Slovenia, No. 29/18).
- (3) A branch that provides currency exchange operations as defined in the Foreign Exchange Act (Official Gazette of the Republic of Slovenia, Nos. 16/08, 85/09 and 109/12) shall report on the purchase of foreign cash and cheques made out and redeemable in foreign currency, and on the sale of foreign cash, broken down by currency, in accordance with the Regulation on foreign exchange transactions (Official Gazette of the Republic of Slovenia, No. 30/13).
- (4) A branch that is obliged to report pursuant to the Regulation on the reporting of payments statistics (Official Gazette of the Republic of Slovenia, No. 38/14) shall report in accordance with the Guidelines for implementing the Regulation on the reporting of payments statistics (Official Gazette of the Republic of Slovenia, No. 69/14).
- (5) A branch shall report on interbank deposits in accordance with the Regulation on the reporting of interbank deposits (Official Gazette of the Republic of Slovenia, No. 26/19) and the Guidelines on the content and manner of the reporting of interbank deposits (published on the Banka Slovenije website).
- (6) A branch shall report in accordance with the Regulation on the reporting of effective interest rates of banks and savings banks in accordance with the Consumer Credit Act (Official Gazette of the Republic of Slovenia, No. 47/17).
- (7) A branch shall report in accordance with the Regulation on the conditions for consumer credit intermediation applying to bank credit intermediaries (Official Gazette of the Republic of Slovenia, Nos. 9/17 and 26/17).

**Article 5**  
**(cessation of validity)**

On the day that this regulation enters into force, the Regulation on reporting by branches of Member State banks (Official Gazette of the Republic of Slovenia, No. 44/18) shall cease to be in force.

**Article 6**  
**(entry into force)**

This regulation shall enter into force on the fifteenth day after its publication in the Official Gazette of the Republic of Slovenia.

Ljubljana, 7 July 2020

Boštjan Vasle  
President,  
Governing Board of Banka  
Slovenije

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Regulation on addition to Regulation on reporting by branches of Member State banks (Official Gazette of the Republic of Slovenia, No. 189/20 of 15 December 2020) contains the following final provision:

**»Article 2**  
**(entry into force)**

This regulation shall enter into force on the following day after its publication in the Official Gazette of the Republic of Slovenia. «