

BROKERAGE OPERATIONS

as at _____
(transactions with clients)

in thousands of euros

No.	Items from the Report of bookkeeping items with interest rates	CONTENT	AMOUNT	
			FINANCIAL YEAR	PREVIOUS FINANCIAL YEAR
1	2	3	4	5
1=2+6		ASSETS		
2=3+4+5		Claims of settlement and transaction accounts for client assets		
3	KA103, KA108, KA113, KA203, KA204, KA205, KA206, KA207, KA208	from financial instruments		
4	KA110, KA115, KA210	against the CSCC or the bank's clearing account for sold financial instruments		
5	KA104, KA109, KA114, KA209	against other settlement systems and institutions for sold financial instruments (buyers)		
6=7+8		Clients' cash		
7	KA100, KA101, KA106, KA111, KA201	in the settlement account for client assets		
8	KA102, KA107, KA112, KA202	in banks' transaction accounts		
9=10		LIABILITIES		
10=11+12+13+14		Liabilities of settlement and transaction accounts for client assets		
11	KP100, KP101, KP106, KP111, KP201, KP202, KP203, KP204	to clients from cash and financial instruments		
12	KP108, KP113, KP206	to the CSCC or the bank's clearing account for purchased financial instruments		
13	KP102, KP107, KP112, KP205	to other settlement systems and institutions for purchased financial instruments (suppliers)		
14	KP104, KP105, KP109, KP110, KP114, KP115, KP207, KP208	to the bank or the bank's settlement account for commission, fees, etc.		
15=16		OFF-BALANCE-SHEET ITEMS		
16=17+18+19		Clients' financial instruments, itemised by service		
17	KA103, KA108	reception, transmission and execution of orders		
18	KA113	management of financial instruments		
19	KA203, KA204, KA205, KA206, KA207, KA208	custodian services		

Notes:

1. The amount under No. 1 must be the same as the amount under No. 9 in this appendix.
2. The amount under No. 4 must be the same as the balance in the item KP302 of the Instruction on Reporting MFI.
3. The amount under No. 12 must be the same as the balance in the item KA303 of the Instruction on Reporting MFI.
4. The amount under No. 15 must be the same as the amount under No. 3 in this appendix.